



PATENT\M-1226\O\MISPART.GG

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Karol Doktor  
Assignee: Financial Systems Technology Pty. Ltd.  
Title: "DATA RELATIONSHIPS PROCESSOR WITH UNLIMITED  
EXPANSION CAPABILITY"  
Serial No.: 07/526,424 Filed: 05/21/90  
Attorney Docket No.: M-1226 US

San Jose, California  
August 2, 1990

COMMISSIONER OF PATENTS & TRADEMARKS  
Washington, D.C. 20231

Attention: Application Branch

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of  
Application - Filing Date Granted" mailed by the United  
States Patent and Trademark Office on July 9, 1990, the  
following documents are enclosed to complete the filing of  
the above-identified patent application:

1. A declaration signed by the inventor in compliance  
with 37 CFR 1.63;
2. Assignment signed by the inventor;
3. Small Business Concern Declaration  
By Assignee;
4. Request For Correction of Inventor's Name; and
5. Copy of Notice to File Missing Parts of  
Application - Filing Date Granted.

Small entity status is requested pursuant to 37 C.F.R.  
§ 1.27 in view of the enclosed Small Business Concern  
Declaration. Upon the granting of such status, it is  
respectfully submitted that the surcharge owed by Applicant  
is \$60.00 pursuant to 37 C.F.R. § 1.16(d) rather than

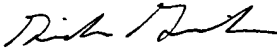
LAW OFFICES OF  
SKJERVEN, MORRILL,  
ACHPERSON, FRANKLIN  
& FRIEL  
25 METRO DRIVE  
SUITE 700  
SAN JOSE, CA 95110  
(408) 283-1222

1 \$120.00. The United States Patent and Trademark Office is  
2 hereby authorized to charge the following fees to Deposit  
3 Account No. 19-2386:

- 4 1. Surcharge for filing declaration  
5 on a date later than the filing date \$60.00  
6 of the application.  
7 2. Assignment recording fee. \$ 8.00  
8 3. Notwithstanding the above, the Commissioner  
9 is hereby further authorized to charge any  
10 additional fees which may be required to  
11 complete the application and avoid abandonment  
12 thereof, or credit any overpayment to Deposit  
13 Account No. 19-2386.

14 It is hereby respectfully submitted that the enclosed  
15 documents complete the filing of the above patent  
16 application and justify the filing date of May 21, 1990.  
17

18 Respectfully submitted,

19   
20 Gideon Gimlan  
21 Reg. No. 31,955  
22 Attorney for Applicant

23 I hereby certify that this correspondence is being deposited with the  
24 United States Postal Service as first class mail in an envelope  
25 addressed to: Commissioner of Patents and Trademarks, Washington,  
26 D.C. 20231, on August 2, 1990.

27 Date: August 2, 1990.

28   
29 Attorney for Applicant



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
1590	05/21/90	KOKTOR	M-1226US

GIDEON GIMLAN  
SKJERVEN, MORRILL, MACPHERSON,  
FRANKLIN & FRIEL  
25 METRO DR., STE. 700  
SAN JOSE, CA 95110

000

DATE MAILED:

07/09/90

**NOTICE TO FILE MISSING PARTS OF APPLICATION—  
FILING DATE GRANTED**

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a ☒ large entity, ☐ small entity (verified statement filed), is \$ 120.00

1. ☐ The statutory basic filing fee is: ☐ missing, ☐ insufficient. Applicant as a ☐ large entity, ☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee and **MUST ALSO SUBMIT THE SURCHARGE AS INDICATED BELOW.**
2. ☐ Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity, ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due. **NO SURCHARGE IS REQUIRED FOR THIS ITEM.**
3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover items omitted at the time of execution.  
An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
4. ☐ The oath or declaration does not identify the application to which it applies. An oath or declaration in compliance with 37 CFR 1.63 identifying the application by the above Serial Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Serial Number and Filing Date is required. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. Applicant(s) should provide, if possible an oath or declaration signed by the omitted inventor(s), identifying this application by the above Serial Number and Filing Date. **A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED BELOW.**
7. ☐ The application was filed in a language other than English. Applicant must file a verified English translation of the application and a fee of \$26.00 under 37 CFR 1.17(k), unless this fee has already been paid **NO SURCHARGE UNDER 37 CFR 1.16(e) IS REQUIRED FOR THIS ITEM.**
8. ☐ A \$20.00 processing fee is required for returned checks. (37 CFR 1.21(m)).
9. ☐ Your filing receipt was mailed in error because check was returned.
10. ☐ Other:

A Serial Number and Filing Date have been assigned to this application. However, to avoid abandonment under 37 CFR 1.53(d), the missing parts and fees identified above in items 1 and 3-6 must be timely provided **ALONG WITH THE PAYMENT OF A SURCHARGE OF \$120.00** for large entities or \$55.00 for small entities who have filed a verified statement claiming such status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **ONE MONTH FROM THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE** of this application, **WHICHEVER IS LATER**, within which to file all missing parts and pay any fees. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned, Attention: Application Branch.

PG11263 08/17/90 07526424

19-2386 110 105 120.00CH

**A copy of this notice MUST be returned with response.**

For: Manager, Application Branch  
(703) 557-3251 3831

FORM PTO-1533 (REV. 7-87)

**COPY TO BE RETURNED WITH RESPONSE**

**For Office Use Only**

<input type="checkbox"/> 102	<input type="checkbox"/> 202
<input type="checkbox"/> 103	<input type="checkbox"/> 203
<input type="checkbox"/> 104	<input type="checkbox"/> 204
<input type="checkbox"/> 105	<input type="checkbox"/> 205